

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY DOCKET NUMBER PAT-00374 U.S. APPLICATION NO (IF KNOWN SEE 37 RR 15) <div style="font-size: 1.5em; font-weight: bold;">10/537070</div>
INTERNATIONAL APPLICATION NO. PCT/EP2003/012310	INTERNATIONAL FILING DATE 05. November 2003	PRIORITY DATE CLAIMED 09. December 2002
TITLE OF INVENTION: AQUEOUS CHROMOPHORE AND/OR EFFECT-PRODUCING COATING MATERIAL, AND USE OF THE SAME		
APPLICATION(S) FOR DO/EO/US: SAPPER, GOETZ-EKKEHARD [GB]; HUMMERT, TOBIAS [DE]		

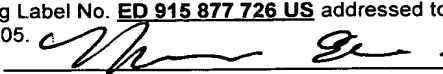
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

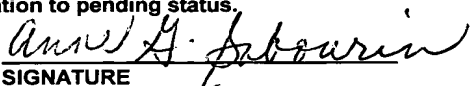
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ are transmitted herewith (required only if not transmittal by the International Bureau).
 - b. ☒ have been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ Is attached hereto.
 - b. ☐ Has been previously submitted under 35 U.S.C 154(d)(4).
7. ☒ Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.37 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76..
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **A copies of the cover sheet from the PCT Published Application, and the cover sheet from the Priority Document**

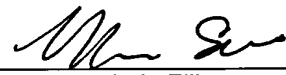
I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. **ED 915 877 726 US** addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on May 31, 2005.


 Marjorie Ellis

U.S. APPLICATION NO. (If known see 37 C.F.R. 1.50) 10/537 070		INTERNATIONAL APPLICATION NO. PCT/EP2003/012310		ATTORNEY'S DOCKET NUMBER PAT-00374	
<input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic National Stage Fee (1631/2631)..... \$300.00				\$	
22. <input checked="" type="checkbox"/> Examination Fee (1633/2633) All other situations..... \$200.00				\$	
23. <input checked="" type="checkbox"/> Search Fee (37CFR 1.445(a)(2) has been paid on the international application to the USPTO as an All other situations..... \$500.00				\$	
TOTAL OF 21, 22, AND 23 =				\$1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.				\$	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate	\$	
- 100=	/50=		x\$250		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate	\$	
Total Claims	13- 20 =		X \$ 50.00		
Independent claims	01 - 03 =		X \$ 200.00		
Multiple dependent claims(s) (if applicable)			+ \$360.00		
TOTAL OF ABOVE CALCULATIONS =				\$1,000.00	
Processing fee of \$130.00 for furnishing the English translation later <input type="checkbox"/> 20 <input type="checkbox"/> 30 the months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$1,000.00	
				Amount to be refunded:	\$
				Charged	\$1,000.00
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 23-3425 in the amount of \$1,000.00 to cover the above fees. A triplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3425 . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: BASF CORPORATION Patent Department 26701 Telegraph Road Southfield, Michigan 48034-2442 (248) 948-2355 Customer No. 26922					
				 SIGNATURE Anne Gerry Sabourin Name 33,772 REGISTRATION NUMBER	

PATENT

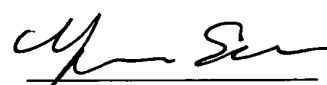
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of SAPPER, Goetz-Ekkehard HUMMERT, Tobias	Practitioner's Docket No. PAT-00374
Serial No.: This application is a National Phase application of PCT/EP2003/012310 filed 05. November 2003.	Group Art Unit: Not Assigned
Filed:	Examiner: Not Assigned
For: AQUEOUS CHROMOPHORE AND/OR EFFECT-PRODUCING COATING MATERIAL, AND USE OF THE SAME	<p><u>EXPRESS MAIL CERTIFICATE</u></p> <p>I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER 915 877 726 US addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below.</p> <p>May 31, 2005</p> <p>_____ Date</p> <p> Marjorie Ellis</p>

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

<input checked="" type="checkbox"/> Combined Declaration and Power of Attorney
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For: AQUEOUS CHROMOPHORE AND/OR EFFECT-PRODUCING COATING MATERIAL, AND USE OF THE SAME	<div style="border: 1px solid black; padding: 5px;"> <p><u>EXPRESS MAIL CERTIFICATE</u></p> <p>I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER 915 877 726 US addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below.</p> <p style="text-align: center;">May 31, 2005</p> <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="border-top: 1px solid black; width: 150px; text-align: center;">Date</div> <div style="text-align: center;">  Marjorie Ellis </div> </div> </div>

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 Alexandria, VA 22313-1450**

☒ **English Application**
 Specification on 43 pages; claims on 04 pages;
 the abstract on 01 page(s); drawings on page(s).